

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum) P034332WO

### Box No. I TITLE OF INVENTION COMPLEMENT INHIBITORS

### Box No. II APPLICANT

This person is also inventor

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

EVOLUTEC LIMITED

THE MAGDALEN CENTRE  
OXFORD SCIENCE PARK  
OX4 4GA  
GB

Telephone No.

Facsimile No.

Teleprinter No.

Applicant's registration No. with the Office

State (that is, country) of nationality:  
GB

State (that is, country) of residence:  
GB

This person is applicant for the purposes of:  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

### Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

NUNN, Miles Andrew  
EVOLUTEC LIMITED  
THE MAGDALEN CENTRE  
OXFORD SCIENCE PARK OX4 4GA  
GB

This person is:

applicant only

applicant and inventor

inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

State (that is, country) of nationality:  
GB

State (that is, country) of residence:  
GB

This person is applicant for the purposes of:  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Further applicants and/or (further) inventors are indicated on a continuation sheet.

### Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

agent

common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

GOODFELLOW, HUGH ROBIN

Telephone No.

020 7242-8692

CARPMAELS & RANSFORD  
43-45 BLOOMSBURY SQUARE  
LONDON WC1A 2RA  
GB

Facsimile No.

020 7405-4166

Teleprinter No.

Agent's registration No. with the Office

Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

**Supplemental Box***If the Supplemental Box is not used, this sheet should not be included in the request.*

1. *If, in any of the Boxes, except Boxes Nos. VIII(i) to (v) for which a special continuation box is provided, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No...." (indicate the number of the Box) and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:*
  - (i) *if more than two persons are to be indicated as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;*
  - (ii) *if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;*
  - (iii) *if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;*
  - (iv) *if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;*
  - (v) *if, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.*
2. *If the applicant intends to make an indication of the wish that the international application be treated, in certain designated States, as an application for a patent of addition, certificate of addition, inventor's certificate of addition or utility certificate of addition: in such a case, write the name or two-letter code of each designated State concerned and the indication "patent of addition," "certificate of addition," "inventor's certificate of addition" or "utility certificate of addition," the number of the parent application or parent patent or other parent grant and the date of grant of the parent patent or other patent grant or the date of filing of the parent application (Rules 4.11(a)(iii) and 49bis.1(a) or (b)).*
3. *If the applicant intends to make an indication of the wish that the international application be treated, in the United States of America, as a continuation or continuation-in-part of an earlier application: in such a case, write "United States of America" or "US" and the indication "continuation" or "continuation-in-part" and the number and the filing date of the parent application (Rules 4.11(a)(iv) and 49bis.1(d)).*

**CONTINUATION BOX NO. IV**

HOWICK, Nicholas Keith  
 FISHER, Adrian John  
 MERCER, Christopher Paul  
 HALLYBONE, Huw George  
 HOWARD, Paul Nicholas  
 JACKSON, Richard Eric  
 JAMES, Anthony Christopher W.P.  
 COCKERTON, Bruce Roger  
 MARSHALL, Cameron John  
 TUNSTALL, Christopher Stephen

All of Carpmaels & Ransford  
 43-45 Bloomsbury Square  
 London WC1A 2RA  
 United Kingdom

Tel: 0207 242 8692

Fax: 0207 405 4166

**Box No. V DESIGNATIONS**

The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.

However,

- DE Germany is not designated for any kind of national protection
- KR Republic of Korea is not designated for any kind of national protection
- RU Russian Federation is not designated for any kind of national protection

(The check-boxes above may be used to exclude (irrevocably) the designations concerned in order to avoid the ceasing of the effect, under the national law, of an earlier national application from which priority is claimed. See the Notes to Box No. V as to the consequences of such national law provisions in these and certain other States.)

**Box No. VI PRIORITY CLAIM**

The priority of the following earlier application(s) is hereby claimed:

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country or Member of WTO	regional application: * regional Office	international application: receiving Office
item (1) 02/06/2003 (2 June 2003)	0312619.0	GB		
item (2) 25/11/2003 (25 November 2003)	0327386.9	GB		
item (3)				

Further priority claims are indicated in the Supplemental Box.

The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (*only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office*) identified above as:

- all items       item (1)       item (2)       item (3)       other, see Supplemental Box

\* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)): .....

**Box No. VII INTERNATIONAL SEARCHING AUTHORITY**

Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA / EP .....

Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year)      Number      Country (or regional Office)

**Box No. VIII DECLARATIONS**

The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):

Number of  
declarations

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Box No. VIII (i)   | Declaration as to the identity of the inventor   | : |
| <input type="checkbox"/> Box No. VIII (ii)  | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent             | : |
| <input type="checkbox"/> Box No. VIII (iii) | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application | : |
| <input type="checkbox"/> Box No. VIII (iv)  | Declaration of inventorship (only for the purposes of the designation of the United States of America)                               | : |
| <input type="checkbox"/> Box No. VIII (v)   | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty   | : |

**Box No. IX CHECK LIST; LANGUAGE OF FILING**

This international application contains:

(a) in paper form, the following number of sheets:

request (including declaration sheets)	:	4
description (excluding sequence listing and/or tables related thereto)	:	40
claims	:	5
abstract	:	1
drawings	:	16
<b>Sub-total number of sheets</b>	:	<b>66</b>
sequence listing	:	
tables related thereto	:	
(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)	:	
<b>Total number of sheets</b>	:	<b>66</b>

(b)  only in computer readable form (Section 801(a)(i))

(i)  sequence listing  
 (ii)  tables related thereto

(c)  also in computer readable form (Section 801(a)(ii))

(i)  sequence listing  
 (ii)  tables related thereto

Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are contained the

sequence listing: .....  
 tables related thereto: .....

(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)

This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):

- |   |   |   |
|---|---|---|
| 1. <input checked="" type="checkbox"/> fee calculation sheet  | : | 1 |
| 2. <input type="checkbox"/> original separate power of attorney   | : |   |
| 3. <input type="checkbox"/> original general power of attorney  | : |   |
| 4. <input checked="" type="checkbox"/> copy of general power of attorney; reference number, if any: .....   | : | 2 |
| 5. <input type="checkbox"/> statement explaining lack of signature  | : |   |
| 6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): .....   | : |   |
| 7. <input type="checkbox"/> translation of international application into (language): .....   | : |   |
| 8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material  | : |   |
| 9. <input type="checkbox"/> sequence listing in computer readable form (indicate type and number of carriers)   | : |   |
| (i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application)   | : |   |
| (ii) <input type="checkbox"/> (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter              | : |   |
| (iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing mentioned in left column   | : |   |
| 10. <input type="checkbox"/> tables in computer readable form related to sequence listing (indicate type and number of carriers)  | : |   |
| (i) <input type="checkbox"/> copy submitted for the purposes of international search under Section 802(b-quater) only (and not as part of the international application)  | : |   |
| (ii) <input type="checkbox"/> (only where check-box (b)(ii) or (c)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater) | : |   |
| (iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column   | : |   |
| 11. <input type="checkbox"/> other (specify): .....   | : |   |

Figure of the drawings which should accompany the abstract: 1

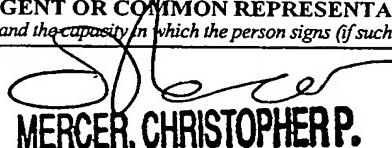
Language of filing of the international application: ENGLISH

**Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE**

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

GOODFELLOW, HUGH ROBIN

Authorised Representative

  
MERCER, CHRISTOPHER P.

CHECKED

Date: 2.6.04

By: MNU

## For receiving Office use only

- |   |   |  |
|---|---|--|
| 1. Date of actual receipt of the purported international application:   |   |  |
| 3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application: |   |  |
| 4. Date of timely receipt of the required corrections under PCT Article 11(2):  |   |  |
| 5. International Searching Authority (if two or more are competent): ISA /  | 6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid |  |

## 2. Drawings:

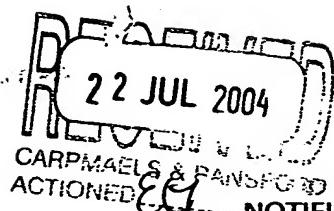
 received: not received:

## For International Bureau use only

Date of receipt of the record copy by the International Bureau:

HPG  
RMB

## PATENT COOPERATION TREATY



PCT

**NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT**

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

GOODFELLOW, Hugh, Robin  
Carpmaels & Ransford  
43-45 Bloomsbury Square  
London WC1A 2RA  
United Kingdom

Date of mailing (day/month/year)  14 July 2004 (14.07.2004)	
Applicant's or agent's file reference  P034332WO	<b>IMPORTANT NOTIFICATION</b>
International application No.  PCT/GB2004/002341	International filing date (day/month/year)  02 June 2004 (02.06.2004)
International publication date (day/month/year)  Not yet published	Priority date (day/month/year)  02 June 2003 (02.06.2003)
Applicant  EVOLUTEC LIMITED et al	

1. By means of this Form, which replaces any previously issued notification concerning submission or transmittal of priority documents, the applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to all earlier application(s) whose priority is claimed. Unless otherwise indicated by the letters "NR", in the right-hand column or by an asterisk appearing next to a date of receipt, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. (If applicable) The letters "NR" appearing in the right-hand column denote a priority document which, on the date of mailing of this Form, had not yet been received by the International Bureau under Rule 17.1(a) or (b). Where, under Rule 17.1(a), the priority document must be submitted by the applicant to the receiving Office or the International Bureau, but the applicant fails to submit the priority document within the applicable time limit under that Rule, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
3. (If applicable) An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b) (the priority document was received after the time limit prescribed in Rule 17.1(a) or the request to prepare and transmit the priority document was submitted to the receiving Office after the applicable time limit under Rule 17.1(b)). Even though the priority document was not furnished in compliance with Rule 17.1(a) or (b), the International Bureau will nevertheless transmit a copy of the document to the designated Offices, for their consideration. In case such a copy is not accepted by the designated Office as priority document, Rule 17.1(c) provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
02 June 2003 (02.06.2003)	0312619.0	GB	29 June 2004 (29.06.2004)
25 Nove 2003 (25.11.2003)	0327386.9	GB	22 June 2004 (22.06.2004)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 338.87.40	Authorized officer  Sylvia OSODO  Telephone No. (41-22) 338 7087
--	--

**PCT**

FIRST NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION (TO DESIGNATED OFFICES WHICH DO NOT APPLY THE 30 MONTH TIME LIMIT UNDER ARTICLE 22(1))

(PCT Rule 47.1(c))

Date of mailing (day/month/year)

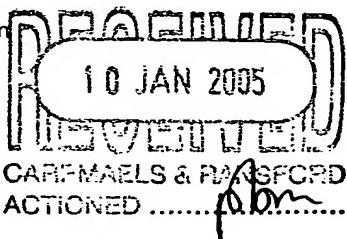
06 January 2005 (06.01.2005)

Applicant's or agent's file reference

P034332WO

To:

GOODFELLOW, Hugh, Robin  
Carpmaels & Ransford  
43-45 Bloomsbury Square  
London WC1A 2RA  
ROYAUME-UNI



International application No.

PCT/GB2004/002341

International filing date (day/month/year)

02 June 2004 (02.06.2004)

Priority date (day/month/year)

02 June 2003 (02.06.2003)

Applicant

EVOLUTEC LIMITED et al

**IMPORTANT NOTICE**

- ATTENTION:** For any designated Office(s), for which the time limit under Article 22(1), as in force from 1 April 2002 (30 months from the priority date), **does apply**, please see Form PCT/IB/308(Second and Supplementary Notice) (to be issued promptly after the expiration of 28 months from the priority date).
- Notice is hereby given that the following designated Office(s), for which the time limit under Article 22(1), as in force from 1 April 2002, **does not apply**, has/have requested that the communication of the international application, as provided for in Article 20, be effected under Rule 93bis.1. The International Bureau has effected that communication on the date indicated below:  
09 December 2004 (09.12.2004)

CH

In accordance with Rule 47.1(c-bis)(i), those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

- The following designated Offices, for which the time limit under Article 22(1), as in force from 1 April 2002, **does not apply**, have not requested, as at the time of mailing of the present notice, that the communication of the international application be effected under Rule 93bis.1 :

LU, SE, TZ, UG, ZM

In accordance with Rule 47.1(c-bis)(ii), those Offices accept the present notice as conclusive evidence that the Contracting State for which that Office acts as a designated Office does not require the furnishing, under Article 22, by the applicant of a copy of the international application.

**4. TIME LIMITS for entry into the national phase**

For the designated Office(s) listed above, and unless a demand for international preliminary examination has been filed before the expiration of 19 months from the priority date (see Article 39(1)), the applicable time limit for entering the national phase will, **subject to what is said in the following paragraph**, be **20 MONTHS** from the priority date.

In practice, time limits other than the 20-month time limit will continue to apply, for various periods of time, in respect of certain of the designated Offices listed above. For regular updates on the applicable time limits (20 or 21 months, or other time limit), Office by Office, refer to the *PCT Gazette*, the *PCT Newsletter* and the *PCT Applicant's Guide*, Volume II, National Chapters, all available from WIPO's Internet site, at <http://www.wipo.int/pct/en/index.html>.

It is the applicant's sole responsibility to monitor all these time limits.

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Dorothée Mülhausen

Facsimile No.+41 22 740 14 35

Facsimile No.+41 22 338 87 40

## PATENT COOPERATION TREATY

PCT

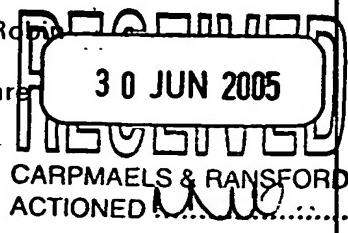
NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

Date of mailing (day/month/year) 21 June 2005 (21.06.2005)
Applicant's or agent's file reference P034332WO
International application No. PCT/GB2004/002341

From the INTERNATIONAL BUREAU

To:

GOODFELLOW, Hugh, Robin  
Carpmaels & Ransford  
43-45 Bloomsbury Square  
London WC1A 2RA  
United Kingdom



## IMPORTANT NOTIFICATION

International filing date (day/month/year)  
02 June 2004 (02.06.2004)

## 1. The following indications appeared on record concerning:

the applicant     the inventor     the agent     the common representative

Name and Address  EVOLUTEC LIMITED The Magdalen Centre Oxford Science Park OX4 4GA United Kingdom	State of Nationality GB	State of Residence GB
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

the person     the name     the address     the nationality     the residence

Name and Address  EVOLUTEC LIMITED 250 South Oak Way Green Park Reading Berkshire RG2 6UG United Kingdom	State of Nationality GB	State of Residence GB
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input checked="" type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input type="checkbox"/> the elected Offices concerned
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 338.87.40	Authorized officer  Sabine Przymusinski  Telephone No. (41-22) 338 9484
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